

DORA Readiness Assessment

Is Your Organization Ready for DORA?

Strategic Compliance Support from GFT

Starting January 2025, financial institutions and their ICT providers in the EU will be directly subject to the requirements of the Digital Operational Resilience Act (DORA).

DORA fundamentally transforms the approach to operational resilience and ICT risk, expanding executive accountability and the scope of regulatory oversight.

DORA is not a project. It's a new operational standard.

While DORA shares common elements with other resilience and cybersecurity frameworks, it creates a more comprehensive and unified approach. DORA elevates standards in certain domains, featuring more precise and detailed specifications compared to the broader principle-oriented regulations that preceded it. The regulation also introduces novel requirements, particularly in its oversight of critical third-party providers (CTPPs), representing an expansion of regulatory scope not previously addressed in comparable frameworks. It requires a precise assessment of the current state and the implementation of complex process, technological, and organizational changes — within a short timeframe.

How We Support Organizations in Achieving DORA Compliance

GFT provides a comprehensive approach that includes compliance assessment, target operating model design, and implementation support – fully aligned with the ICT architecture, GRC structure, and regulatory context of each market. The DORA Compliance Assessment Report serves as a valuable tool to help you build a roadmap, budget and resource plan or to align your existing strategy, offering confirmation and reassurance on your path to compliance.

What We Offer



A comprehensive evaluation of your current security stance and technology environment to determine what's needed to achieve DORA basic security compliance



An analysis that examines all crucial security dimensions —workforce practices, operational procedures, technological systems, and vendor relationships —to identify vulnerabilities



A clear, straightforward report detailing practical steps to resolve the security shortcomings we discover



How we work



Our security experts facilitate interactive workshop sessions to examine your existing IT security framework and evaluate the sophistication of your GRC (Governance, Risk, and Compliance) security protocols, procedures, and risk oversight practices. This evaluation reviews your current security measures and provides clarity on your DORA compliance preparedness.



Phase I – DORA Risk Assessment & Gap Analysis (1-2 weeks)

- Audit of procedures, governance structures, and ICT environment
- Mapping DORA requirements against the organization’s current state
- Identification of gaps, dependencies, and non-compliance areas
- Prioritization of actions based on risk and compliance impact
- Delivery of a recommendation report and initial implementation roadmap

- **Business outcomes** – a comprehensive report to identify DORA implementation gaps and risks

Phase II – Implementation Advisory & Execution Support

- Definition of ICT strategy and update of risk management frameworks
- Implementation of ICT incident classification, reporting, and escalation mechanisms
- Design and execution of operational resilience tests (e.g., red teaming)
- Oversight of external providers and implementation of contractual requirements (CTPPs)
- Development of dashboards and compliance metrics for stakeholders and regulators
- Team training and development of operational-regulatory awareness

- **Business outcomes** – a fully compliant documentation on DORA to hand over to the regulatory institutions

Case study: EU based Fintech company



Situation:

A fintech company offering scoring services lacked a structured approach to ICT risk management. Following an external audit, the regulatory body identified serious deficiencies related to managing user access to IT resources.



GFT’s Intervention:

We conducted a full-scope due diligence, identified 100+ actions required to address deficiencies and achieve DORA compliance. The team implemented them using an agile methodology combined with mentoring for the client’s teams.



Outcome:

The team has provided initial, tangible results within one month. Critical gaps have been addressed: guidelines, processes and procedures had been developed, and the process of introduction had started. As a result client became prepared for regulatory audits.

Why GFT?

GFT Technologies is a global leader in digital transformation, core IT systems, and cybersecurity for the financial, insurance, and industrial sectors.



20+ years of experience in financial sector regulatory frameworks



Proven compliance implementations across DORA, NIS2, PSD2, and EBA Guidelines



12,000+ experts in 20+ countries – local presence, global scale



Integrated regulatory, engineering, and operational expertise in one team



Ready to move forward?

➤ Book your DORA Regulation Assessment. Gain clarity on your current compliance status and identify key priorities.

➤ gft.com